

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/809,984

6300 SEARS TOWER

233 S. WACKER DRIVE CHICAGO, IL 60606

MARSHALL, GERSTEIN & BORUN LLP

04743

03/26/2004

James Steven Collins

30521/3073

CONFIRMATION NO. 9616

FORMALITIES LETTER

OC00000012881234

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

08/13/2004 FFRNAIR2 00000023 10809984

P.O. Box 1450

01 FC:1051

130.00 OP

Alexandria VA 22313-1450

Customer Service Center
Initial Paterit Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032

Under the Paperwork Reduction Act \$1995, no persons are requi	ired to i	respond t	U.Ş. Pa to a coll	atent an ection o	id Trademari if information	k Office; U.S. DEPARTMENT OF (n unless it displays a valid OMB cor	COMMERC
		Complete if Known					
FEE TRANSMITTAL	1	Application Number		er	10/809,984-Conf. #9616		
for FY 2004		Filing Date			March 26, 2004		
		First Named Inventor		ntor	James S. Collins		
Effective 10/01/2003. Patent fees are subject to annual revision.		Exam	iner Na	ame		Not Yet Assigned	
Applicant claims small entity status. See 37 CFR 1.27		Art Ur	nit			2643	
TOTAL AMOUNT OF PAYMENT (\$) 130.00		Attorn	ey Do	cket No	э.	30521/3073	
METHOD OF PAYMENT (check all that apply)	Γ			FEE	CALCUL	ATION (continued)	
X Check Credit Money Order Other None Deposit Account:	3. /	ADDITIO	ONAL	FEES	•		
Deposit Cooking	Larg	e Entity	Small	Entity	_		
Account 13-2855 Number	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Description	Fee Paid
Deposit MARSHALL GERSTEIN &	1051	130	2051	65	Surcharge	- late filing fee or oath	130.00
Account Name BORUN LLP	1052	50	2052	25	-	- late provisional filing fee or cover	700.00
The Director is authorized to: (check all that apply)	1052	50	2052	25	sheet.		
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-Englis	h specification	
Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a r	equest for ex parte reexamination	
Charge fee(s) indicated below, except for the filling fee	1804	920*	1804	920*	Requesting Examiner a	publication of SIR prior to	
to the above-identified deposit account.		1,840*	1805	1,840*		publication of SIR after	
FEE CALCULATION	1251	110	2251	55		or reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension f	or reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension f	or reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension f	or reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension f	or reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of A	ppeal	
1003 530 2003 265 Plant filing fee	1402		2402	165	-	of in support of an appeal	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1403		2403	145		r oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1452	1,510 110	1451 2452	55	_	nstitute a public use proceeding revive – unavoidable	
SUBTOTAL (1) (\$) 0.00	1453		2453	665		revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665		fee (or reissue)	
Extra Fee from	1502		2502	240	Design issu		
Total Claims below Fee Paid	1503		2503	320	Plant issue		
Independent x	1460		1460	130		the Commissioner	
Claims Multiple Dependent	1807	50	1807	50		fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission	of Information Disclosure Stmt	
Fee	8021	40	8021	40	Recording	each patent assignment per	
Code (\$) Code (\$) Fee Description 1202 18 2202 9 Claims in excess of 20			1			mes number of properties) emission after final rejection	
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	(37 ČFR 1.	129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385		dditional invention to be 37CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	•	r Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900		r expedited examination application	
and over original patent	Other	fee (spe	cify)			***	
SUBTOTAL (2) (\$) 0.00	*Red	uced by I	Basic Fi	ling Fee	Paid	SUBTOTAL (3) (\$)	130.00
**or number previously paid, if greater; For Reissues, see above							

SUBMITTED BY			(Complete	(if applicable))
Name (Print/Type) Anthony G. Sitko	Registration No. (Attorney/Agent)	36,278	Telephone	(312) 474-6300
Signature			Date	August 9, 2004

I hereby certify that this con	respondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in
	MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date
shown below.	

Dated: August 9, 2004

(Anthony G. Sitko)

AUG 1 2 2004

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/809,984-Conf. #9616

Filing Date March 26, 2004

First Named Inventor James S. Collins

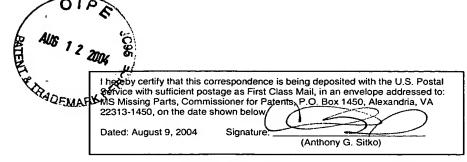
Art Unit 2643

Examiner Name Not Yet Assigned

Attorney Docket Number 30521/3073

Total Number of Pages in This Subit	1331011	30321/30/3		
ENCLOSURES (Check all that apply)				
X Fee Transmittal Form	Drawing(s)	After Allowance communication to Technology Center (TC)		
X Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Convert to a Provisional Application	Proprietary Information		
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please Identify below):		
Express Abandonment Request	Request for Refund	Combined Declaration and Power of Attorney		
Information Disclosure Statement	CD, Number of CD(s)	Part 2 Copy of Notice		
Certified Copy of Priority Document(s)				
X Response to Missing Parts/ Incomplete Application	Remarks			
Response to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name MARSHALL, GERSTEIN & BORUN LLP Anthony G. Sitko - 36,278				
Signature				
Date August 9, 2004				

	respondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date
shown below.	
Dated: August 9, 2004	Signature: (Anthony G. Sitko)



Docket No.: 30521/3073

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

James S. Collins

Application No.: 10/809,984

Filed: March 26, 2004

For: MICROPHONE ASSEMBLY WITH

PREAMPLIFIER AND MANUFACTURING

METHOD THEREOF

Confirmation No.: 9616

Art Unit: 2643

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed June 7, 2004, Applicant respectfully submits a Combined Declaration and Power of Attorney and Part 2 Copy of Notice.

Our check in the amount of \$130.00 covering the fee set forth in 37 CFR \$1.16(e) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith, to our Deposit Account No. 13-2855. A duplicate copy of this paper is enclosed.

Dated: August 9, 2004

Respectfully submitted,

Anthony G. Sitko

Registration No.: 36,278

MARSHALL, GERSTEIN & BORUN LLP 233 S. Wacker Drive, Suite 6300, Sears Tower

Chicago, Illinois 60606-6357

(312) 474-6300

Attorney for Applicant